Change Advisor and/or RIA Firm

GEOWEALTH

Using DocuSign is the preferred way to complete your request.

Sign via DocuSign

Or email this form to service@geowealth.com

1. Account Information

Every account using the same Social Security number must be entered below, including joint accounts. All joint account owners must sign this form.

Client Name(s)

Account Number(s)

2. Advisor / RIA Firm Information

Please change the Advisor and/or RIA Firm of record for the above noted accounts accordingly.

◯ Change Advisor only ◯ Change RIA Firm only ◯ Change Advisor and RIA Firm

New Advisor Name(s)

New RIA Firm Name

* A Limited Power of Attorney is not transferable to a new Advisor. A new Limited Power of Attorney Form must be completed by the client, if applicable. Click here to complete a new Limited Power of Attorney.

3. Account Owner(s) Signature

By signing below, the undersigned authorizes GeoWealth Management LLC ("GeoWealth") to change the Advisor and/or RIA Firm in accordance with these instructions and agrees to indemnify and hold GeoWealth harmless for acting on these instructions.

Client acknowledges and agrees that the sole purpose of this request is to change the Advisor/RIA Firm of Record for the Client's account(s). Client acknowledges and agrees that all terms and conditions relating to Client's account(s) as set forth in the Client's Account Application and the GeoWealth Investment Management Agreement, as amended, continue to apply to Client's account(s). A change to the RIA Firm of Record may result in a change in the total GeoWealth Platform Fee charged to Client's account(s); Client is advised to review the GeoWealth Platform Fee set forth in the Client's Monthly Performance & Billing Report. Client is also advised to review the current Advisor Fee for the above referenced account(s) and submit an Advisor Fee Change Authorization Form if updates are needed. If Client transfers to a new RIA Firm intra-month, the Client's account(s) will be charged the applicable GeoWealth Platform Fee and Advisory Fee for the new RIA Firm for the full month.

Note: GeoWealth may not process this request to change the Advisor/RIA Firm of Record for the Client's Account(s) if GeoWealth has been notified that there is a material conflict between the Advisor and/or RIA Firms involved.

Account Owner/Trustee/Custodian/Executor

Date (MM/DD/YYYY)

Additional Account Owner/Co-Trustee/Co-Custodian/Co-Executor

Date (MM/DD/YYYY)