Required New Account Forms

Refer to the tables below to determine if GeoWealth's digital "Open New Account" is available for the type of account at your custodian. Opening the account on our platform is the fastest way and eliminates errors and delays by using a guided workflow that auto-fills client information for existing clients and prospects.

- If the account type is available in "Open New Account," then it **must** be opened on the platform.
    - You can still choose to have clients sign the completed documents manually.
- For account types not available in "Open New Account," fill in the forms and either submit via the DocuSign link or a manual signature. We have provided links below to a consolidated file that contains all required documents per account type.

Account types available for digital "Open New Account"[1]

Enter your client's information for these account types directly in GeoWealth here. This enables the creation of a new account and its funding. Note that an Investment Plan must be created in the platform and signed by the client(s) before the account can be invested.

Goldman Sachs:

Available Digitally in Open New Account	
Individual	Contributory IRA
Joint - Right of Survivorship	Roth IRA
Joint - Tenants in Common	IRA Rollover
Joint - Community Property	SEP IRA
Joint - Tenants by Entirety	SIMPLE IRA

Charles Schwab:

Available Digitally in Open New Account	
Individual	Contributory IRA
Joint - Right of Survivorship	Roth IRA
Joint - Tenants in Common	IRA Rollover
Joint - Community Property	SEP IRA
Joint - Tenants by Entirety	SIMPLE IRA

[1] No Fidelity accounts are available for the Digital "Open New Account" process; see below for links to account opening forms

Account Types Not Available Digitally in "Open New Account"

Use the below links to open accounts that cannot be opened digitally. An Investment Plan must be created in GeoWealth before the account can be invested. Follow the instructions in the document for how to submit them once completed.

Goldman Sachs:

Account Type	Custodial and GeoWealth Forms	
Trusts (Revocable & Irrevocable)	Co-Adviser	Ausdal
Corporations, LLCs, Partnerships, and Proprietorships	Co-Adviser	Ausdal
Qualified Retirement Plan, Defined Benefit Plan, Pension Plan	Co-Adviser	Ausdal
Custodial (UGMA/UTMA)	Co-Adviser	Ausdal

Charles Schwab:

Account Type	Custodial and GeoWealth Forms	
Trusts (Revocable, Irrevocable, Testamentary or Business)	Trust Account Application	Co-Adviser
Corporations (S or C)	Corporate Account Application	Co-Adviser
Qualified Retirement Plan	Contact Us	
Inherited IRA	Inherited IRA Accounts	Co-Adviser
Custodial (UGMA/UTMA)	Custodial (UGMA/UTMA)	Co-Adviser

Fidelity Investments:

Account Type	Custodial and GeoWealth Forms	
Taxable Accounts (Individual, Joint, Estate, Custodial)	Personal Account Application	Co-Adviser
IRAs (Traditional, Rollover, Roth, SEP)	IRA Application	Co-Adviser
Inherited IRA	Inherited IRA	Co-Adviser
Trusts	Trust Account Application	Co-Adviser
Corporation, LLC, Partnerships, Proprietorships	Corporate Account Application	Co-Adviser
Qualified Retirement Plan	Qualified Retirement Plan	Co-Adviser